

05/03/01  
10839 U.S. PTO

05-CH-01

A

Please type a plus sign (+) inside this box ☒

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0006-11500  
First Inventor GRUBER, Harry E.  
Title METHOD & SYSTEM FOR EFFICIENT COMMUNICATION & RELATIONSHIP MANAGEMENT  
Express Mail Label No. EF 05247271 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- ☒ Oath or Declaration [Total Pages 4]
  - ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the continuing, divisional or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	PATENT TRADEMARK OFFICE (Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
Name	KINTERA, INC.		
Address	9605 SCRANTON ROAD, SUITE 240		
City	SAN DIEGO	State	CA
Country	U.S.	Zip Code	92121
Telephone	858.745.3011	Fax	858.745.3010

Name (Print/Type)	MICHAEL A. BOHMAN	Registration No. (Attorney/Agent)	43,872
Signature		Date	5-3-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

09/848101  
05/03/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)683.00

## Complete if Known

Application Number TBA  
Filing Date MAY 3, 2001  
First Named Inventor GRUBER, Harry E.  
Examiner Name TBA  
Group Art Unit TBA  
Attorney Docket No. 0006. US00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1674  
Deposit Account Name KINTERA, INC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	<u>355.00</u>
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)355.00

### 2. EXTRA CLAIM FEES

Total Claims 36 -20\*\* = 16 X 18 = 288.00  
Independent Claims 2 -3\*\* = 0 X - = -  
Multiple Dependent - X - = -

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)288.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	<u>40.00</u>
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)40.00

## SUBMITTED BY

Name (Print/Type)	<u>MICHAEL A. ROHMANN</u>	Registration No. (Attorney/Agent)	<u>43,872</u>	Complete (if applicable)
Signature	<u>[Signature]</u>	Telephone	<u>858.795.3011</u>	Date
			<u>5-3-01</u>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



May 3, 2001

Assistant Commissioner for Patents  
Washington, D. C. 20231

EXPRESS MAIL NO. EF052472751US

Attention: Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application  
Application No.: To be assigned  
Filed: May 3, 2001  
For: METHOD AND SYSTEM FOR EFFICIENT COMMUNICATION  
AND RELATIONSHIP MANAGEMENT  
Inventors: Harry E. Gruber; Yun Deng; Jeane S. Chen; and Joyce T.  
Abela  
Our Reference: 0006.US00

Sir:

The following documents are being forwarded herewith for appropriate action by  
the U.S. Patent and Trademark Office:

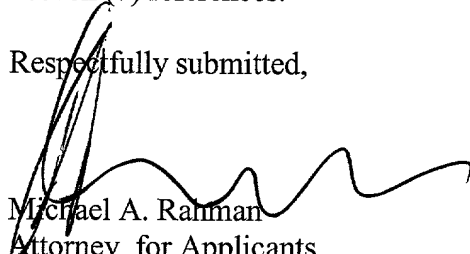
1. U.S. Utility Patent Application entitled:  
  
"METHOD AND SYSTEM FOR EFFICIENT  
COMMUNICATION AND RELATIONSHIP  
MANAGEMENT"  
  
and naming as inventors:  
  
GRUBER, Harry E.;  
DENG, Yun;  
CHEN, Jeane S.; and  
ABELA, Joyce T.

the application comprising:

- a. A specification containing:
- (i) 14 pages of description prior to the claims;
  - (ii) 5 pages of claims ( claims);
  - (iii) a one (1) page abstract;
- b. 3 sheets of drawings: (Figures 1-3 ); and

- 2. Return postcard.
- 3. Fee Transmittal for FY 2001 (PTO/SB/17).
- 4. Utility Patent Application Transmittal (PTO/SB/05).
- 5. An original executed combined Declaration and Power of Attorney.
- 6. An original executed Assignment.
- 7. Recordation of Assignment Form.
- 8. Information Disclosure Statement
- 9. PTO-1449 with seven (7) references.

Respectfully submitted,

  
Michael A. Rahman  
Attorney for Applicants  
Reg. No. 43,872

MAR:bjk  
Enclosures

KINTERA, INC.  
9605 Scranton Road  
Suite 240  
San Diego, CA 92121  
(858) 795-3011